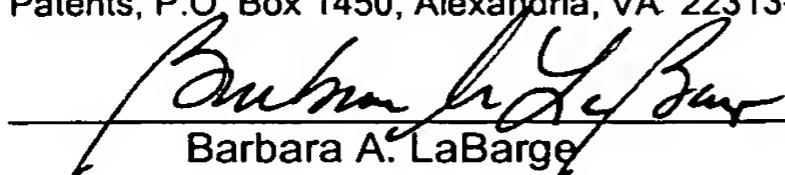




Certificate Under 37 CFR 1.8(a)

Hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on February 9, 2004.


Barbara A. LaBarge

Our Case No.: 11336/514 (P03086US)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Gerald R. Stanley

Serial No.: 10/626,433

Filing Date: July 24, 2003

For: OPPOSED CURRENT
CONVERTER POWER FACTOR
CORRECTING POWER SUPPLY

Examiner: Unknown

Group Art Unit No.: 2838

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

The references now cited are the following:

"Design of H-Bridge Multilevel Active Rectifier for Traction Systems", IEEE Transactions on Industry Applications, Vol. 39, No. 5, September/October 2003, Carlo Cecati, Antonio Dell'Aquila, Marco Liserre and Vito Giuseppe Monopoli.

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"A Passivity-Based Multilevel Active Rectifier with Adaptive Compensation for Traction Applications", IEEE Transactions on Industry Applications, Vol. 39, No. 5, September/October 2003, Carlo Cecati, Antonio Dell'Aquila, Marco Liserre and Vito Giuseppe Monopoli.

"Multilevel Converters for Large Electric Drives", IEEE Transactions on Industry Applications, Vol. 35, No. 1, January/February 1999, Leon M. Tolbert, Fang Zheng Peng and Thomas G. Habetler.

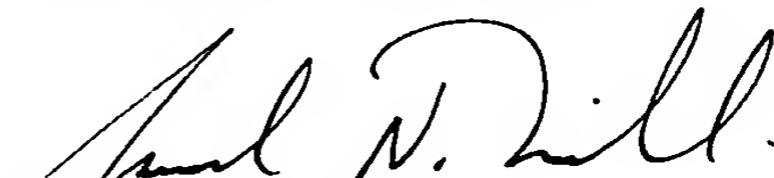
"Multilevel Converters – A New Breed of Power Converters", IEEE Transactions on Industry Applications, Vol. 32, No. 3, May/June 1996, Jih-Sheng Lang and Fang Sheng Peng.

"A New Multilevel PWM Method: A Theoretical Analysis", IEEE Transactions on Industry Applications, Vol. 7, No. 3, July 1992, Giuseppe Carrara, Simone Gardella, Mario Marchesoni, Raffaele Salutari and Giuseppe Sciutto.

In accordance with 37 C.F.R. § 1.97(g),(h), this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

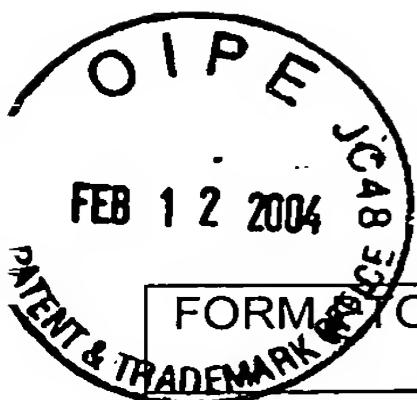
Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,



Sanders N. Hillis
Registration No. 45,712
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE
One Indiana Square, Suite 1600
Indianapolis, IN 46204
(317) 636-0886



FORM S-10-1449 PATENT	SERIAL NO. 10/626,433	CASE NO. 11336/514
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE July 24, 2003	GROUP ART UNIT 2838
(use several sheets if necessary)	APPLICANT(S): Gerald R. Stanley	

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO

EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)	
	A1	"Design of H-Bridge Multilevel Active Rectifier for Traction Systems", IEEE Transactions on Industry Applications, Vol. 39, No. 5, September/October 2003, Carlo Cecati, Antonio Dell'Aquila, Marco Liserre and Vito Giuseppe Monopoli.
	A2	"A Passivity-Based Multilevel Active Rectifier with Adaptive Compensation for Traction Applications", IEEE Transactions on Industry Applications, Vol. 39, No. 5, September/October 2003, Carlo Cecati, Antonio Dell'Aquila, Marco Liserre and Vito Giuseppe Monopoli.
	A3	"Multilevel Converters for Large Electric Drives", IEEE Transactions on Industry Applications, Vol. 35, No. 1, January/February 1999, Leon M. Tolbert, Fang Zheng Peng and Thomas G. Habetler.
	A4	"Multilevel Converters – A New Breed of Power Converters", IEEE Transactions on Industry Applications, Vol. 32, No. 3, May/June 1996, Jih-Sheng Lang and Fang Sheng Peng.
	A5	"A New Multilevel PWM Method: A Theoretical Analysis", IEEE Transactions on Industry Applications, Vol. 7, No. 3, July 1992, Giuseppe Carrara, Simone Gardella, Mario Marchesoni, Raffaele Salutari and Giuseppe Sciutto.

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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